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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**IN RE PATENT APPLICATION OF: Phillips et al.**

**OUR FILE NO: 78390  
(18-82 US)**

**SERIAL NUMBER: 10/666,318**

**GROUP: Unassigned**

**FILED: September 18, 2003**

**EXAMINER: Unassigned**

**TITLE: PATTERNED REFLECTIVE OPTICAL STRUCTURES**

**Information Disclosure Statement**

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449A) in the above-captioned application with references. In accordance with current USPTO procedures published 05 AUG 2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached.

**Certification**

\_\_\_\_\_ This Information Disclosure Statement is submitted within three months of:

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

X\_\_\_\_\_ This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

\_\_\_\_\_ This Information Disclosure Statement is submitted after three months from

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. § 1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. § 1.97(c) and § 1.17(p) is submitted herewith.

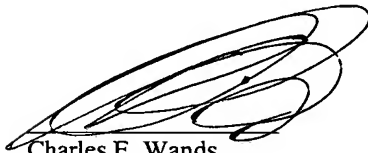
\_\_\_\_\_ This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to § 1.17(i) (1) are submitted herewith.

\_\_\_\_\_ A certification under 37 C.F.R. §1.97 is submitted herewith separately from this paper.

\_\_\_\_\_ It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

\_\_\_\_\_ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,



Charles E. Wands  
Registration No: 25,649  
Customer No. 27975

March 18, 2005

Date: \_\_\_\_\_


1401 Citrus Center  
255 South Orange Avenue  
Box 3791  
Orlando, Florida  
USA 32802-2791

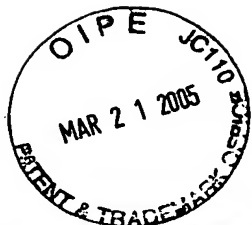
Tel: (321) 725-4760  
Fax: (321) 984-7078

/mdb

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: M/S AMENDMENT, COMMISSIONER OF PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, on this 18 day of March, 2005.

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<b>Form PTO 1449A</b>  <b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>  Information Disclosure Statement by Applicant	<b>ATTY. DOCKET NUMBER:</b> 78390 (18-82 US)	<b>SERIAL NUMBER:</b> 10/666,318
	<b>APPLICANT:</b> PHILLIPS ET AL	
	<b>FILING DATE:</b> SEPTEMBER 18, 2003	<b>GROUP:</b> UNASSIGNED

**U.S. Patent Documents**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILE APP
	6,692,830	Feb 17, 2004	Argoitia et al.	428	403	
	6,749,777	Jun 15, 2004	Argoitia et al.	252	582	
	6,761,959	July 13, 2004	Bonkowski et al.	428	156	
	20040081807	Apr 29, 2004	Bonkowski et al.	428	195.1	
	20040094850	May 20, 2004	Bonkowski et al.	264	1.34	
	20040105963	Jun 3, 2004	Bonkowski et al.	428	195.1	
	11/047,389	Jan 31, 2005	Phillips et al.			

**Foreign Patent Documents**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

<b>EXAMINER</b>		<b>DATE CONSIDERED</b>
<i>EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant</i>		



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**U.S. Patent Documents**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED APPEAL
		5,128,779	Jul 7, 1992	Mallik	359	2	
		5,142,383	Aug 25, 1992	Mallik	359	2	
		5,815,292	Sep 29, 1998	Walters	359	2	

**Foreign Patent Documents**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 02/00446	Jan 3/02	WO	B42D	15/10		

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

<b>EXAMINER</b>		<b>DATE CONSIDERED</b>
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